The Joint Commission and IQCP
Before Getting Started

- CMS approval of our standards in June 2015
- QSA.02.04.01 EPs 1 – 8
  - Prepublication Standards
  - July *Perspectives* article
  - January 1, 2016 edition of CAMLAB
- Appendix C: IQCP – Eligible Requirements
- All specialties/subspecialties except Pathology
- All locations, test systems, and tests except Pathology
- Mirror the Interpretive Guidelines
- IQCP information entered into your e-App (currently under development)
- Surveyors may review all required documentation
QSA.02.04.01

The laboratory develops an individualized quality control plan (IQCP) in an eligible specialty or subspecialty.
QSA.02.04.01

EP 1 A complete IQCP that consists of the following three parts:
  • Risk assessment, Quality control plan, Quality assessment

EP 2 ☐ A risk assessment that is established by the laboratory in its own environment by its own testing personnel.

Note: The risk assessment may include test, method or instrument verification data; performance specifications; or historical quality control data. Published or manufacturer data may also be included, but cannot be the only data source for the risk assessment.

EP 3 ☐ A risk assessment that contains the following five components:
  • Specimen, Environment, Reagent, Test system, Testing personnel
QSA.02.04.01

EP 4 ☐ A risk assessment that encompasses the following three phases of the entire testing process:
- Preanalytic, Analytic, Postanalytic

Note: The risk assessment identifies the sources of potential failures and errors for a testing process, and evaluates the frequency and impact of those failures and sources of errors.

EP 5 ☐ Laboratories that develop an individualized quality control plan (IQCP) include the following: a risk assessment that includes the manufacturer’s instructions or other information needed to assess risk in all three phases of the testing process.

Note: The risk assessment includes function and maintenance checks as required by, and not less than, manufacturers’ instructions.
QSA.02.04.01

**EP 6 ①** Laboratories that develop an individualized quality control plan (IQCP) include the following: A quality control plan for devices at each location throughout a facility.

**EP 7 ②** Laboratories that develop an individualized quality control plan (IQCP) include the following: A quality control plan (or changes in the plan) that the laboratory director signs and dates before implementation.

**EP 8 ③** Laboratories that develop an individualized quality control plan (IQCP) include the following: A quality assessment that includes documentation of corrective action and preventive action to monitor ongoing effectiveness.
Resources

- **Joint Commission Connect™**
  - Perspectives Articles
    - March 2014: IQCP Model
    - July 2015: New Requirements
  - IQCP PowerPoint & Risk Assessment Template
  - Leading Practice Library: IQCP C. difficile Testing
    - Brochure #11 - 13
    - FAQs IQCP
    - IQCP benefits
    - IQCP workbook: Developing an IQCP, A Step-by-Step Guide

- **Lab Focus** publication (Aug/Sept 2015)

- Submit a question to Standards Interpretation
  [https://web.jointcommission.org/sigsubmission/sigsubmissionform.aspx](https://web.jointcommission.org/sigsubmission/sigsubmissionform.aspx)